## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No.: GRD0142.DIV

Prior Application: 10/154,032

Examiner: R. Gushi

Art Unit: 2833

MS Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 22141 U.S. PTO 10/706160

Sir:

This is a request for filing a [ ] continuation [ X] divisional application under 37 C.F.R. 1.53(b) of:

Prior Application Serial No: 10/154,032 filed: May 23, 2002

of: Phillip A. McCoy

(Inventor(s) currently of record in prior application)

entitled: COMPRESSOR PLUG CAP ASSEMBLY

(Title of Invention)

1. [ X ] Enclosed is a copy of the prior application, including the oath or declaration as originally filed.

2. The filing fee is calculated as follows:

## CLAIMS AS FILED IN THE PRIOR APPLICATION, LESS ANY CLAIMS CANCELED BY AMENDMENT BELOW

ITEM	NUMB ER FILED			CHECK IF LARGE ENTITY				
			EXTRA	SMALL ENTITY		NON-SMALL ENTITY		
				RATE	FEE	RATE	FEE	TOTAL FEES
Basic Fee				S333.00	\$385.00	: S\$770.000	-	\$385.0
Total Claims	2	- 20	0	5200	\$0.00	\$18.00	-	\$0.0
Independent Claims	1	- 3	0	£ £38.00.	\$0.00	\$86.00	-	\$0.0
Multiple Dependent Claims				7+\$145:00	\$0.00	+\$290.00	-	\$0.0
						Total	Filing Fee:	\$385.0

- 3. A check in the amount of \$385.00 is enclosed. (Check No. 11067)
- 4. [X] Please amend the specification by deleting the paragraph beginning at line 4 of page 1 and inserting therefore:
- --This is a divisional of application Serial No. 10/154,032 filed May 23, 2002, which is a non-provisional application based upon U.S. provisional patent application serial no. 60/303,526, entitled "COMPRESSOR PLUG CAP ASSEMBLY", filed August 27, 2001.--
- 5. [ ] New informal drawings are enclosed.
- 6. [X] The prior application is assigned of record to Lyall Assemblies, Inc..
- 7. [ ] A new combined declaration and power of attorney is enclosed.
- 8. [X] Applicant hereby claims Small Entity Status under 37 CFR  $\S 1.27(c)(1, 2)$  which entitles Applicant to a 50% reduction in government fees.
- 9. [X] A preliminary amendment is enclosed. (Claims added by this amendment have been properly numbered consecutively beginning with the number next following the highest numbered original claim in the prior application.)
- 10. [X] I have reviewed the attached specification and drawings and have determined that no new matter is present therein over prior application Serial No. 10/154,032, filed on May 23, 2002.

- 11. [X] Incorporation by Reference: The entire disclosure of the parent application listed herein, from which a copy of the oath or declaration is supplied, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
- 12. [X] The Director of the United States Patent and Trademark Office is hereby authorized to charge payment of the following fees or credit any overpayment associated with this communication or during the pendency of this application to Deposit Account No. 20-0095, TAYLOR & AUST, P.C. A duplicate copy of this letter is enclosed.
  - [X] Any additional filing fees required under 37 CFR 1.16.
  - [X] Any patent application processing fees under 37 CFR 1.17.
  - [X] Any fees under 37 CFR 1.16 for presentation of extra claims.
- 13. [ ] Applicant(s) hereby request(s) that the above-captioned application NOT BE PUBLISHED under 35 U.S.C. § 122(b) and 37 C.F.R. § 1.211. The undersigned hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.
- 14. Please address all future communications to:

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142 S. Main St.
P.O. Box 560
Avilla, Indiana 46710
Telephone: 260-897-3400

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November 12, 2003

Date

Address of signatory: Taylor & Aust, P.C. 142 S. Main St. P.O. Box 560 Avilla, Indiana 46710 Telephone: 260-897-3400 FAX: 260-897-9300 Stephen D. Horchem Registration No. 53,035

Agent for Applicant

## "EXPRESS MAIL" COVER LETTER U.S. PATENT APPLICATIONS

Date: November 12, 2003

MS Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

RE:

Application for United States Letters Patent

APPLICANT: Phillip A. McCoy

TITLE OF INVENTION: COMPRESSOR PLUG CAP ASSEMBLY

Sir:

Forwarded herewith is the above-identified application, consisting of the following:

Specification (10 Sheets)

Claims (3 Sheets)

**Abstract** 

Drawings (3 Sheets)

Declaration X Executed \_ Unexecuted

Assignment \_ Yes X No

Information Disclosure Statement X Yes No

**Preliminary Amendment** 

Respectfully submitted,

Stephen D. Horchem Registration No. 53,035

Agent for Applicant

SDH/dc

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"EXPRESS MAIL" Mailing Number EV 270179010 US

Date of Deposit November 12, 2003

I hereby certify that this paper or fee is being deposited with the United States Postal Service "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 CFR 1.10 on the date indicated above and is addressed to MS Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Debbie M. Cyr
(Typed Name of Person Mailing Paper or Fee)

(Signature of Person Mailing paper or Fee)